Services

# Administrator Operating Instructions Raman data library 2.0





# **Table of Contents**

1	About this document	3
1.1	Safety symbols	3
1.2	Glossary	3
1.3	Documentation	4
1.4	Safety	5
2	Product description	6
2.1	User security and management (cGxP version only)	6
3	Installation	12
3.1	System requirements	12
3.2	Installing Raman data library	12
3.3	Updating the software	13
3.4	Removing the software	13
4	Service management	15

4.1	Service configuration15
4.2	Starting and stopping the service16
_	
5	Database management18
5.1	Backing up the database18
5.2	Restoring the database18
	m 11 1 // 10
6	Troubleshooting19
6.1	Spectra are not being collected19
6.2	Rxn Control state does not match RunTime or is
	unresponsive
6.3	Resetting the administrator password through
	SystemTool.exe19
6.4	Reserved system user credentials 20
6.5	Support21
_	
7	Copyright information22
7.1	End-user license agreement

# 1 About this document

This document gives instructions related to administrative operating instructions for Raman data library. This document explains technical tasks performed by a user with elevated permissions. For general user instructions including navigation, field explanation, data manipulation, and more, refer to the *Raman data library User Operating Instructions* (BA02367C).

# 1.1 Safety symbols

Structure of Information	Meaning			
	This symbol alerts you to a dangerous situation. Failure to avoid the dangerous			
Causes (/consequences)	situation can result in a fatal or serious injury.			
Consequences of noncompliance (if applicable)				
► Corrective action				
	This symbol alerts you to a dangerous situation. Failure to avoid this situation			
Causes (/consequences)	can result in minor or more serious injuries.			
Consequences of noncompliance (if applicable)				
► Corrective action				
NOTICE	This symbol alerts you to situations which may result in damage to property.			
Causes/situation				
Consequences of noncompliance (if applicable)				
► Action/note				

# 1.2 Glossary

Term	Description
Audit Trail	Independent computer-generated record of changes to electronic records indicating time, operator, action, content and if needed, reason.
Authorized User	End user who has completed the required training and been granted access to the application.
BCA	Background Correction Accuracy
°C	Degrees Celsius
Cfm	Cubic feet per minute
CFL	The new CFL file format defined in "Galactic Industries Corp. Universal Data Format Specification", dated September 4, 1997
CFR	Code of federal regulation
cGMP	Current good manufacturing practices
cGxP	Current good clinical, laboratory, and/or manufacturing practices
CMMS	Computerized maintenance management system: a computer-based database that contains all of the maintenance work orders and is utilized for scheduling activities.
COTS	Configurable off-the-shelf
CTQ	Critical to quality
Critical requirement	A requirement that, if not met, has an adverse impact on any of the regulatory agency requirements, data integrity or security.
Data Analysis	Any work process with the goal of obtaining useful information from the raw data supplied by the spectrometer.
Electronic Record	Any combination of records represented in digital form that is created, modified, maintained, archived, retrieved, or distributed by a computer system and used for a cGxP regulated activity.

Term	Description
Electronic Signature	Authorization of the electronic record by an individual in the form of digital confirmation that is legally binding and equivalent to the individual's handwritten signature.
Final Report	A document summarizing all results derived from the execution of a validation document.
GAML	Generalized analytical markup language
GDP	Good documentation practices
GCC	Global change control
GHz	Gigahertz
Graphical Data	Key data, information, attributes that can be represented in a graphical format.
Hz	Hertz
IAPP	Information asset protection policy
In Situ	In its original place
IQ	Installation qualification
LAN	Local area network
Nm	nanometers
Non-Critical requirement	A requirement that, if not met, does not have an adverse impact on any of the regulatory agency requirements, data integrity or security.
OQ	Operational qualification
OS	Operating system
Password	The unique, private code input by the user for identification purposes.
PAT	Process analytical technology
РС	Personal computer
ррb	Parts per billion
ppm	Parts per million
psig	pounds per square inch gage
Savitzky-Golay filter	A digital filter that can be applied to a set of digital data points for the purpose of smoothing the data, that is, to increase the precision of the data without distorting the signal tendency.
SPC	File form defined by developer.
Spectra	The raw data from the Raman spectrometer after processing into SPC (GRAMS) format.
QC	Quality control
Raman Rxn4 analyzer	An integrated spectroscopy system designed to accommodate fiber optic probes for the purpose of sample analysis.
RAM	Random access memory
UPS	Uninterruptable power supply
URS	User requirements specification

# 1.3 Documentation

All documentation is available:

- On the media device supplied (not included in the delivery for all device versions)
- On the Endress+Hauser mobile app: www.endress.com/supporting-tools
- In the Downloads area of the Endress+Hauser website: www.endress.com/downloads

Part Number	Document Type	Description
BA02367C	Raman data library User Operating Instructions	A complete overview of the software features and functionality for spectral data collection, storage, and analysis in Raman data library.
KA01717C	Raman data library Brief Operating Instructions	A quick start guide for running Raman data library after installation.
TI01802C	Raman data library Technical Information	Planning aid for your Raman data analysis system. The document contains all the technical data for the software.

This document is an integral part of the document package, which includes:

## 1.4 Safety

For information about the safe operation of Raman Rxn analyzers and the Raman RunTime Software, view the *Raman RunTime v6.5 Operating Instructions* (BA02180C). It is recommended that the *Raman RunTime v6.5 Operating Instructions* be read fully before using the Raman data library.

### 1.4.1 IT safety

IT security measures, which provide additional protection for the device and associated data transfer, must be implemented by the operators in line with their security standards.

### 1.4.2 Application security in Raman data library for cGxP installations

In cGxP environments, Raman data library is designed to be a secure system. All actions requiring authorization must be made by an authenticated user, which is facilitated by the user and user rights management in the cGxP version. In Raman data library core version, information is secured in encrypted form. However, access to the software and its functionality is not managed by user rights.

Authentication for cGxP applications can be managed by:

- **Raman data library.** When system authentication is selected, a salted hash of the user's password is stored in the Raman data library database.
- Local Windows operating system. When local authentication is selected, password information is not stored within Raman data library. The corresponding Windows user login must be added to Raman data library and assigned a role within the software.
- Active Directory. When domain authentication is selected, password information is also not stored within Raman data library. The corresponding Active Directory qualified login must be added to Raman data library and assigned a role within the software.

The Raman data library Service authenticates as a reserved user named "System" that is not permitted to login interactively. The password for the "System" user is randomly generated upon database creation and stored reversibly encrypted via the Windows Data Protection API in the **appSettings.config** file. Refer to *User security and management*  $\rightarrow \bigoplus$  for more information.

### 1.4.3 Data integrity

To protect unauthorized altering of data, each row in each object table (as opposed to linking tables) in the database has a hash-based message authentication code (HMAC) stored with it. This is an encrypted hash based on the contents of the row. The row is deterministically serialized to binary form, a salted hash is generated based on that binary data, and that hash is then encrypted using the key specified in the Windows **service.exe.config** file. Each time a row is accessed, the contents of the row are checked against the hash and, in the case of a mismatch, an error is displayed and the application exits.

# 2 Product description

Endress+Hauser's Raman data library is a software program designed to organize, visualize, analyze, and report on Raman Rxn spectral data. Raman data library complements the Endress+Hauser Raman Rxn embedded Raman RunTime by providing spectra storage, organization, analysis, and multi-analyzer data collection from lab-to-cGxP.

Raman data library was created for users who need to visualize Raman spectra, associate it with reference data, and create univariate peak models. With this software, prepared data can be exported for external multivariate modeling.

Raman data library features:

- Data organization. Matches spectra to reference values, prepares data prior to chemometric modeling, provides searchable data storage, and ensures data integrity.
- Data analysis. Gives enhanced spectra visualization and simple analysis such as peak trending and univariate modeling.
- **cGxP option**. Meets industry standards for spectral data traceability, storage, and archival.

Raman data library stores spectral data in a secure, embedded SQLite database, performs calculations on the spectral data, and displays spectral data and its associated metadata in reports and on-screen. This functionality allows Raman spectra to act as reference data for quantitative Raman method development and support the needs of 21 CFR Part 11 as part of a validated analytical solution.

Raman data library can be implemented to be 21 CFR Part 11 compliant when installed with the cGxP Setup installer and qualified through IQ/OQ with Endress+Hauser, and PQ with the customer. When installed in cGxP mode, the program facilitates collection, storage, and organization of Raman spectra so that spectra may:

- Act as calibration set data for quantitative Raman model development as part of a validated analytical solution, or
- Act as data input into validated models used for predictions of process or sample properties

# 2.1 User security and management (cGxP version only)

This section applies to cGxP installations of Raman data library only. In cGxP environments, Raman data library utilizes user authentication to ensure traceability and logging of all events. The standard version of Raman data library does not offer user management and authentication at the software level. User management is instead handled through Windows user accounts.

The Users window allows managers to add, update, and delete user accounts. It also allows managers to view events by a specified user or all events by all users.

### 2.1.1 Security settings

In cGxP environments, Raman data library utilizes user authentication to ensure traceability and logging of all events. In Raman data library core environments, user management and authentication are not utilized.

From the Settings window, you can modify system security settings. To make changes to system security settings, you must be logged in with a System Settings Manager role.

#### Authentication modes

Raman data library supports system, local, and domain-based user authentication. The modes of user authentication can be changed in the Security window, as described below. Users are authenticated through:

- **System**. Raman data library stores and manages all user accounts and passwords for the system. This is the default mode of operation.
- Local. Users are authenticated using usernames and passwords existing on the local Windows operating system. Each user that can be authenticated against the local Windows security is granted read-only privileges to Raman data library. A user account with a matching username must be created in Raman data library to assign additional roles.
- Domain. Users are authenticated using usernames and passwords existing on a specified domain. Each user that
  can be authenticated against the specified domain security is granted read-only privileges to Raman data library.
  A user account with a matching username (login name) must be created in Raman data library to be able to
  authenticate properly and assign additional roles.

The Endress+Hauser Raman data library Windows Service must be restarted when a change to the authentication mode is made. Endress+Hauser Raman data library Windows Service can be restarted through the tray service manager or by restarting the Raman data library PC.

When local Windows or domain authentication is selected, only the system timeout period (Timeout Seconds) is configurable. The remainder of password rules are managed at the domain level. Changing those settings requires valid credentials for the new authentication source.

						🔒 Change Panaword 📋	
	Settings Security						
	Authentication Type:	System					
	Domain Path:						
⊞	Minimum Password Length:						
	Maximum Login Failures:						
	Number Of Passwords Remembered:						
	Days Before Password Expiration:	∞ + −					
	Timeout Seconds:	-+ 000					
	Setting Lood Log						
n							
•							
Ron C	4(85						
	Acquiring - Nermal C:\ProgramData\Endress+Hauser\Data Library\Er	ndress+Hauser.DataLibrary 🛛 🐻 Event Log					
							 055018

Figure 1. Security window

Raman data library configurable security options are:

- **Authentication type.** The authentication type for the application. For a complete description refer to *Authentication modes*, above. Authentication can be managed by:
  - **System.** Raman data library stores and manages all user accounts and passwords for the system.
  - Local. Users are authenticated using usernames and passwords existing on the local Windows operating system.
  - Domain. Users are authenticated using usernames and passwords existing on a specified domain.
- **Domain path.** The domain path utilized for domain authentication. Use the fully qualified domain path. A leading backslash (\) is not required.
- **Minimum password length**. The minimum length a password can be for Raman data library. Acceptable values are from 6 to 30. The default value is 6.
- **Maximum login failures**. The maximum number of times a login can consecutively fail for a given user before that user's account is automatically disabled. The default value is 3.
- **Number of passwords remembered**. The number or previously defined passwords kept in the database for a user to prevent password reuse. Acceptable values are from 0 to 10. The default value is 3.
- Days before password expiration. The number of days before a user's password will expire and require change on the first login after this period. Acceptable values are from 0 to 365 days. A value of 0 means passwords will never expire. The default value is 90 days.
- **Timeout seconds**. The number of seconds before a session of Raman data library idles and requires credentials to continue the session. This behavior can be disabled or be assigned a value of 1 second to 60 minutes. The default value is 300 seconds (5 minutes). If Timeout Seconds is set to 0, the system timeout is disabled.

System Timeou		
Enter credentials for user E	ample User to continue.	
Password		
		_
	Login Exit	
	2004	55948

Figure 2. System timeout

### 2.1.2 User management

In cGxP environments, Raman data library authenticates users to ensure traceability and logging of all events. The standard version of Raman data library does not offer user management and authentication at the software level. User management is instead handled through Windows user accounts.

The Users window allows managers to add, update and delete user accounts. It also allows managers to view events by a specified user or all events by all users. To manage users, you must be logged in to an account with the Users Manager role.

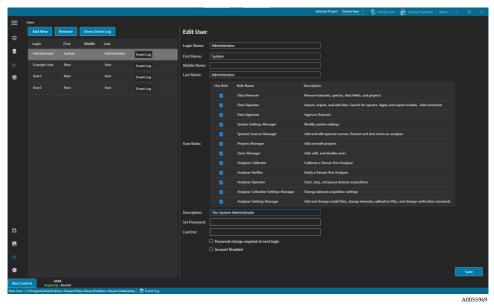


Figure 3. Users window

New users are added with the **Add New** button.

When Windows local or domain authentication is selected, the password fields are not present and a **Populate** button appears on user dialogs. Clicking **Populate** will attempt to auto-populate the name fields from the authentication source, based on the login name entered.

Users have the following properties:

- **Login name**. The name used to login to the system. Each login name must be unique and from 1 to 100 characters in length.
- **First name**. The user's first name. It may be from 0 to 100 characters in length.
- **Middle name**. The user's middle name. It may be from 0 to 100 characters in length.
- **Last name**. The user's last name. It may be from 0 to 100 characters in length.
- User roles. The roles the user is granted in Raman data library. Any combination of roles may be assigned to a
  given user, from all roles to no roles.
- Account disabled. Indicates whether a user is disabled in the system. A disabled user cannot login.
- **Description**. A description of the user.

### 2.1.3 User roles

Within the user roles functionality, a user manager can create users with privileges that follow the organizational structure determined by the customer. As such, fixed user groups are not present. Instead, there are many options for structuring privileges by assigning a combination of user roles.

User roles can be utilized to limit user functionality. Any combination of roles may be assigned to a given user, from all roles to no roles.

Role	Actions allowed
Data Remover	Remove datasets

Role	Actions allowed
	Remove spectra
	<ul> <li>Remove data fields</li> </ul>
	Remove projects
Data Operator	<ul> <li>Import, export, and edit data</li> </ul>
	<ul> <li>Search for spectra</li> </ul>
	<ul> <li>Apply and export models</li> </ul>
	Add comments
Data Approver	Approve datasets
System Settings Manager	Modify system settings
Spectral Sources Manager	Add and edit Spectral sources
	<ul> <li>Restart and shut down an analyzer</li> </ul>
Projects Manager	<ul> <li>Add projects</li> </ul>
	Edit projects
Users Manager	<ul> <li>Add users</li> </ul>
	Edit users
	<ul> <li>Disable users</li> </ul>
Analyzer Calibrator	Calibrate a Raman Rxn analyzer
Analyzer Verifier	Verify a Raman Rxn analyzer
Analyzer Operator	Start dataset acquisitions
	<ul> <li>Stop dataset acquisitions</li> </ul>
	<ul> <li>Pause dataset acquisitions</li> </ul>
Analyzer Collection Settings Manager	Change dataset acquisition settings
Analyzer Settings Manager	<ul> <li>Add and change model files</li> </ul>
	<ul> <li>Change intensity calibration files</li> </ul>
	<ul> <li>Change verification standards</li> </ul>

User roles can be restricted to one set of privileges or combined into sets related to the user's responsibilities. The following table gives examples of role sets that could be assigned to typical users, supervisors, and administrators.

User Level	Roles applied
User	<ul> <li>Data operator</li> <li>Spectral Sources Manager</li> <li>Analyzer Calibrator</li> <li>Analyzer Verifier</li> <li>Analyzer Operator</li> <li>Analyzer Collection Settings Manager</li> </ul>
Supervisor	<ul> <li>Analyzer Settings Manager</li> <li>Data Remover</li> <li>Data Approver</li> <li>System Settings Manager</li> <li>Projects Manager</li> </ul>
Administrator	Users Manager

### 2.1.4 Creating a new user

### To create a new user

1. From the Users window, click **Add New**.

Create new Raman data library user accounts for all new system users. While Raman data library can rename users, only use this functionality to upgrade a user's name because of a name change. Do not rename a user account to reassign the account to a new individual.

A new Edit User pane displays.

Edit User				
Login Name:	User1			
First Name:	New			
Middle Name:				
Last Name:	User			
	Has Role	Role Name	Description	
		Data Remover	Remove datasets, spectra, data fields, and projects	
		Data Operator	Import, export, and edit data. Search for spectra. Apply and export models. Add comments	
		Data Approver	Approve Datasets	
		System Settings Manager	Modify system settings	
		Spectral Sources Manager	Add and edit spectral sources. Restart and shut down an analyzer	
User Roles:		Projects Manager	Add and edit projects	
		Users Manager	Add, edit, and disable users	
		Analyzer Calibrator	Calibrate a Raman Rxn Analyzer	
		Analyzer Verifier	Verify a Raman Rxn Analyzer	
		Analyzer Operator	Start, stop, and pause dataset acquisitions	
		Analyzer Collection Settings Manager	Change dataset acquisition settings	
		Analyzer Settings Manager	Add and change model files, change intensity calibration files, and change verification standards	
Description:				
Set Password:				
Confirm:				
		hange required at next login		
	Account Dis	abled		
				Save A0055964

Figure 4. Edit User pane

- 2. Enter the user properties:
  - **Login Name**. The name used to login to the system. Each login name must be unique and from 1 to 100 characters in length.
  - First Name. The user's first name. It may be from 0 to 100 characters in length.
  - **Middle Name**. The user's middle name. It may be from 0 to 100 characters in length.
  - Last Name. The user's last name. It may be from 0 to 100 characters in length.
  - User Roles. The roles the user is granted in Raman data library. User roles can be added or removed by selecting or deselecting the Include checkbox for each role. See User Roles → 
     .
  - Account Disabled. Indicates whether a user is disabled in the system. A disabled user may not login.
  - **Description**. A description of the user.
- 3. Enter a valid password in the Set Password and Confirm Password boxes.

A password must meet the following minimum password requirements:

- Must contain at least one special character
- Must contain a mixture of uppercase and lowercase letters
- Must meet the minimum password length which is set on the Settings window
- 4. (Optional) Select **Password change required at next login** when adding a new user.
- 5. Click Save.

### 2.1.5 Disabling a user

Inactive Raman data library user accounts can be disabled but should always be retained in Raman data library for historical and audit trail purposes. A disabled user may not login.

#### To disable a user

- 1. From the Users window, select the user account to disable.
- 2. Select Account Disabled.
- 3. Click Save.

### 2.1.6 Using domain-based authentication

Domain-based user authentication utilizes Windows Active Directory to import user credentials into Raman data library. Under domain-based authentication, password policies are controlled by the domain, not through Raman data library.

User roles must be assigned and maintained within Raman data library. Management of user roles through Active Directory is not supported. The Active Directory domain is only utilized for user credential authentication purposes.

#### To use domain-based authentication

1. From the Users window, click **Add New**.

A new Edit User pane displays.

- 2. Add user account information that matches a corresponding user on the domain. The username (login name) and password must be the same.
- 3. In the Security window, select the authentication type **Domain**.
- 4. Enter the **Domain path** utilized for domain authentication. Use the fully qualified domain path. A leading backslash (\) is not required.
- 5. Click Save.

The new authentication source is authenticated using the initial user credentials.

6. Add subsequent users utilizing their login name and first, middle (if any), and last name. A password is not required.

Each user is validated against the domain automatically.

7. Restart the Raman data library Windows Service using Raman data library service manager. Refer to *Starting and* stopping the service  $\rightarrow \square$ .

# 3 Installation

Raman data library is designed to be installed on a standalone workstation. Raman data library contains functionality to export data from each instance of the application and import the data into a centralized location.

# 3.1 System requirements

Raman data library requires:

- Intel Core i5 or equivalent processor
- A minimum of 16 GB of memory (RAM)
- Microsoft Windows 10 Professional 64-bit
- Display with a resolution of 1920 x 1080 or greater, and:
  - 24" or more (desktops)
  - 13" or more (laptops)
  - Display set to an aspect ratio of 100 %
- Microsoft .NET Framework version 4.7.2

# 3.2 Installing Raman data library

To install Endress+Hauser Raman data library, you must be logged on as an administrator. Installing Raman data library without the proper privileges can lead to unintended behavior.

### To install Raman data library

- 1. With administrator privileges, run the Raman data library setup program Setup.exe from the installation media.
  - Setup.exe checks for system prerequisites and administrative privileges. The Raman data library Setup Wizard welcome screen displays.

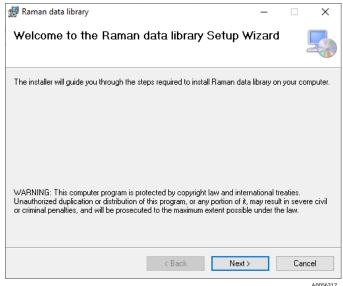


Figure 5. Raman data library setup wizard

- 2. Follow the on-screen instructions.
  - Read and accept the end-user license agreement.
  - Select the installation directory.
  - Default settings are recommended for most installations.

After the installation completes, a shortcut on your desktop called Raman data library appears.



Figure 6. Raman data library desktop icon

- 3. Double-click the Raman data library shortcut.
- 4. (cGxP version only) Log in to Raman data library with the first-time login information:
  - The username is **Administrator**.
  - The password is **Administrator1**.

You are required to change the password. Make note of the new administrator password.

When using Raman data library cGxP version with user authentication, start by creating an additional user. Adding one user in addition to the default administrator allows the administrator password to be reset if needed. Refer to *Creating a new user*  $\rightarrow \square$ .

Upon successful installation, an Endress+Hauser\Raman data Library folder is present in the Common ProgramData folder. That folder includes the files Endress+Hauser.RamanDataLibrary and

Endress+Hauser.RamanDataLibrary.key, which are the database files for the software. Refer to *Database management*  $\rightarrow \cong$  for details.

System Administrator | C:\ProgramData\Endress+Hauser\Raman data library\Endress+Hauser.RamanDataLibrary | 🖻 Event Log 👘

Figure 7. Database path for Raman data library shown at bottom of application window

## 3.3 Updating the software

If you have previously installed versions of Raman data library or Kaiser Data Library v1.0, you must remove old versions of the software, before installing Raman data library 2.0+. Refer to *Removing the software* below, then continue with *Installing Raman data library*  $\Rightarrow \cong$ .

## 3.4 Removing the software

The following instructions outline how to remove Raman data library completely for the purpose of a clean reinstallation. If performing a simple uninstall, follow the wizard in the Windows Add or Remove Program.

Removing Raman data library requires Windows Administrator privileges.

#### To remove Raman data library

- 1. Start Task Manager.
- 2. End all tasks associated with Endress+Hauser and Raman data library, including Raman data library (RDL) service and TrayServiceManager, if present.
- 3. Uninstall the application using Add or Remove Program in System Settings or Control Panel.
- 4. Restart the computer.

This ensures the RDL service stops and gets removed. If the RDL Service is still present in Services.msc after a restart, proceed to Step 5.

- 5. If removing RDL service is unsuccessful, do the following:
  - a. Open a command prompt as Admin. Enter the command **sc delete "Endress+Hauser Raman data library"**. This manually deletes the service if Step 3 is not successful.
  - b. Open **Run** and enter **Services.msc**. Ensure that the Endress+Hauser Raman data library service does not exist, not even in a stopped state.
  - c. In **Run**, open **certIm.msc**. Under **Manage Computer Certificates': Personal | Certificates**, delete the certificate **localhost** issued by Root Agency.
- 6. Ensure all Endress+Hauser and Raman data library folders are removed from C:\Program Files\Endress+ Hauser.
- 7. Under **C:\ProgramData\Endress+Hauser**, ensure the **Raman data library** folder is deleted. ProgramData may be a hidden folder. This contains the database file and should only be done when performing a clean install.

After uninstalling, if you are installing a new version of Raman data library refer to *Installing Raman data library*  $\rightarrow \cong$  for instructions.

# 4 Service management

The Raman data library service runs in the background to monitor OPC connections to RunTime analyzers and folders for the addition of SPC files. When Raman data library detects an addition of an SPC file or a new spectrum from RunTime, the new file or spectrum is imported to the Raman data library database and old SPC files are placed in an output folder for archival.

By default, Raman data library service runs automatically on system startup as the Local System account and takes no action on failures, as shown in the images below.

Endress+Hauser Raman data library Properties (Local Computer) $\qquad imes$	Endress+Hauser Raman data library Properties (Local Computer) X
General Log On Recovery Dependencies	General Log On Recovery Dependencies
Service name: Endress+Hauser Raman data library Display name: Endress+Hauser Raman data library	Log on as: ● Local System account
Description:	Allow service to interact with desktop This account: Browse
Path to executable: "C:\Program Files\Endress+Hauser\Raman data library\WindowsService.ex	Password:
Startup type: Automatic (Delayed Start) V	Confirm password:
Service status: Running Start Stop Pause Resume	
You can specify the start parameters that apply when you start the service from here.	
Start parameters:	
OK Cancel Apply	OK Cancel Apply
A0056092	A0056093

Figure 8. Service general properties and log on properties

General	Log On	Recovery	Dependenc	ies					
Select ti actions		ter's respons	e if this servio	ce fails. <u>Help me set up</u>	recovery				
First failure:		Take No A	ction	~					
Second failure:		Take No A	ction	~					
Subsequent failures:			Take No A	Take No Action					
Reset fa	ail count a	fter:	0	days					
Restart	service al	iter:	1	minutes					
_	ole action	s for stops wi	th errors.	Restart Computer Opti	ons				
Progr	-								
	Carri.			Brows	e				
Comr	mand line	parameters:							
A	ppend fail	count to end	d of command	d line (/fail=%1%)					

Figure 9. Service recovery properties

# 4.1 Service configuration

The configuration of Raman data library service may need to be changed to suit the environment. This can be done through the Windows Services dialog (services.msc) shown below. The default input and output folders for spectra can be specified in Spectral Sources, as shown below.

• 🔿 🖂 🗠							
P   00.02   0.00 0							 _
Services (Local)	Services (Local)						
	Endress+Hauser Raman data library	Name	Description	Status	Startup Type	Log On As	
		Display Enhancement Service	A service fo		Manual (Trig	Local System	
	Stop the service	Chisplay Policy Service	Manages th	Running	Automatic (	Local Service	
	Pause the service Restart the service	Distributed Link Tracking Client	Maintains li	Running	Automatic	Local System	
	incluir the service	Distributed Transaction Coordinator	Coordinates		Manual	Network Service	
		ONS Client	The DNS Cli	Running	Automatic (T	Network Service	
		Ownloaded Maps Manager	Windows se	-	Automatic (	Network Service	
		Compedded Mode	The Embed		Manual (Trig	Local System	
		Encrypting File System (EFS)	Provides th		Manual (Trig	Local System	
		Endress+Hauser Raman data library		Running	Automatic (	Local System	
		Enterprise App Management Service	Enables ent		Manual	Local System	
		Cartensible Authentication Protocol	The Extensi		Manual	Local System	
		Ch Fax	Enables you		Manual	Network Service	
		Rile History Service	Protects use		Manual (Trig	Local System	
		Supervision Discovery Provider Host	The FDPHO	Running	Manual	Local Service	
		Supervision Discovery Resource Publication	Publishes th	Running	Manual (Trig	Local Service	
		GameDVR and Broadcast User Service_1040d3	This user ser		Manual	Local System	
		GameInput Service	Enables key		Manual (Trig	Local System	
		Geolocation Service	This service	Running	Manual (Trig	Local System	
		GraphicsPerfSvc	Graphics pe		Manual (Trig	Local System	
		Group Policy Client	The service i		Automatic (T	Local System	
		A Human Interface Device Service	Activates an	Running	Manual (Trig	Local System	
		<					2
	Extended / Standard /						

Figure 10. Windows Services management

Edit Spectral	Source
Name:	SPC
Туре:	
- )	○ OPC
Path Informat	tion
Input Path:	C:\ProgramData\Endress+Hauser\Raman data library\Input Files Browse
Output Path:	C:\ProgramData\Endress+Hauser\Raman data library\Output Files Browse
Description	
Service Notes	
Date User	Comments
	Add
	Save Changes
	1005508

Figure 11. Spectral Sources window: default input and output paths

# 4.2 Starting and stopping the service

The Raman data library Service status can be determined with the Raman data library Tray Service Manager that runs in the system tray, by looking in the Windows Service manager, or via a command line statement.

### To determine the status of the Raman data library Service

- 1. Open a command line prompt with Administrator privileges.
- 2. Enter the command sc query "Endress+Hauser Raman data library".

The service can be started or stopped via the Windows Service Manager, an instance of Raman data library, or a command line statement.

To start or stop the Raman data library service from the Raman data library Tray Service Manager

- 1. Right-click the **Raman data library Tray Service Manager** in the system tray.
- 2. Select Show Window.

The Raman data library service status dialog box displays.

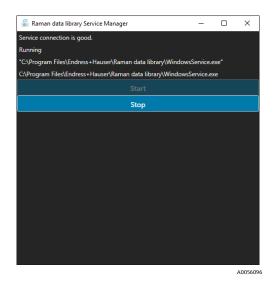


Figure 12. Raman data library service status dialog

3. Click **Start** or **Stop** to start or stop the service.

### To start the Raman data library Service from the command line

- 1. Open a command line prompt with Administrator privileges.
- 2. Enter the command sc start "Endress+Hauser Raman data library".

### To stop the Raman data library Service from the command line

- 1. Open a command line prompt with Administrator privileges.
- 2. Enter the command sc stop "Endress+Hauser Raman data library".

# 5 Database management

Raman data library can be backed up and restored by managing the embedded SQLite database and its corresponding configuration files. The file-based nature of the Raman data library database and config files allows for any standard file-based management solution.

The default path for the software database files is C:\ProgramData\Endress+Hauser\Raman data library\. This path is non-configurable.

A routine backup of the software database folder is recommended. The Windows backup feature or a third-party backup solution may be utilized for this purpose.

# 5.1 Backing up the database

- 1. Navigate to C:\ProgramData\Endress+Hauser\Raman data library\.
- 2. Copy the files Endress+Hauser.RamanDataLibrary and Endress\_Hauser.RamanDataLibrary.Key.
- 3. Paste the copied files to a secured backup location.
- 4. Navigate to C:\Program Files\Endress+Hauser\Raman data library.
- 5. Copy the files WindowsService.exe.config and WpfPresentation.exe.Config.
- 6. Paste the copied files to a secured backup location.

## 5.2 Restoring the database

- 1. Remove all versions of Raman data library by uninstalling the Raman data library app through Windows Add and remove programs.
- 2. Delete all files in the C:\ProgramData\Endress+Hauser folder.
- 3. Install a new instance of Raman data library. Refer to *Installing Raman data library*  $\rightarrow \square$  for instructions.
- 4. If open, close Raman data library.
- 5. Click the Windows start button and enter Services. Open Services.
- 6. Right click the Raman data library service and click Stop.
- 7. Copy the files Endress+Hauser.RamanDataLibrary and Endress\_Hauser.RamanDataLibrary.Key from the secured backup location to C:\ProgramData\Endress+Hauser\Raman data library.
- 8. Copy the files WindowsService.exe.config and WpfPresentation.exe.Config from the secured backup location to C:\Program Files\Endress+Hauser\Raman data library. If prompted, replace any existing files.
- 9. Right-click the Raman data library service and click Start.

# 6 Troubleshooting

# 6.1 Spectra are not being collected

If spectra are acquired using the Rxn Control window but the spectra are not added to a dataset, this indicates that the Windows Service has disconnected from the Raman RunTime analyzer and the connection needs to be reestablished.

The Windows Service can disconnect from the Raman RunTime analyzer for various reasons, including:

- Shutting down the analyzer for extended periods of time
- Changing network settings in the Raman RunTime software
- Changing network settings on the computer running Raman data library.

To reconnect the Windows Service connection, stop and then start the service using the tray service manager (refer to *Starting and stopping the service*  $\rightarrow \cong$ ) or restart the Raman data library computer.

# 6.2 Rxn Control state does not match RunTime or is unresponsive

If the status of RunTime and Raman data library become out of sync, restart Raman data library to reestablish connection. If you encounter this, contact Endress+Hauser Optical Analysis to document this issue.

# 6.3 Resetting the administrator password through SystemTool.exe

This section applies to the cGxP version of Raman data library only. If the password for the Administrator user is forgotten, it can be reset through a command line utility using the following steps. This procedure is only applicable when System authentication is utilized.

To reset the administrator password, at least 1 user in addition to the administrator must exist within Raman data library.

Resetting the administrator password is event-logged and the console session must be running with Windows Administrator privileges. The password encrypted in the Service.exe.config file and the hash stored in the database must match. The Service must be runnable for the following steps to work.

### To reset the administrator password

- 1. Open a command prompt with Windows administrator privileges.
- 2. Change to the directory where Raman data library is installed.
- 3. Enter the command "SystemTool.exe reset".
- 4. When prompted, enter a valid user login and press enter.
- 5. Enter that user's password and press enter.
- 6. Supply a reason for the reset. This reason is required.
- 7. Enter the new password for the Administrator user.
- 8. Login as the Administrator and change the password.

The Administrator password requires change on the next login.

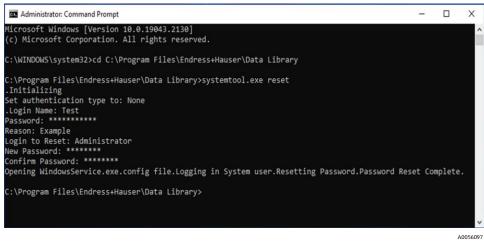


Figure 13. Resetting the administrator password with SystemTool.exe

## 6.4 Reserved system user credentials

This section applies to the cGxP version of Raman data library only.

Raman data library has a reserved system user that the Raman data library service operates under. The password for this account is randomly generated. A hash of this password is stored in the database and a reversibly encrypted version stored in the appSettings.config file.

Upon Raman data library service startup, the service reads and decrypts the password in the appSettings.config file and supplies it for authentication.

If the database is replaced, a new database is created, or Service.exe.config is altered, the hash and encrypted password may not match. As a result, the Raman data library service does not start. Failures from Raman data library service are logged and can be seen in the Windows Event Viewer. In this case, an event noting the login failure is logged, as shown below.

🛃 Event Viewer								-		×
<u>File</u> <u>Action</u> <u>View</u> <u>H</u> elp										
🗢 🔿 📶 🖬 🖬										
Event Viewer (Local)	Application Number of events: 1,554							Actions		
<ul> <li>Custom Views</li> <li>Windows Logs</li> </ul>	Level	Date and Time	:	Source	Event ID	Task Category	^	Application		
Application	(i) Information	10/25/2022 9:05:53 AM	( <sup>1</sup>	Windows Error R	1001	None		🚎 Open Saved Log		
Security	🕕 Error	10/25/2022 9:05:49 AM		Application Error	1000	(100)		Y Create Custom View		
E Setup	0 Error	10/25/2022 9:05:47 AM		NET Runtime		None		Import Custom View		
System	Error	10/25/2022 9:05:47 AM		Endress+Hauser		None		Clear Log		
> Applications and Services Lo	Information     Information	10/25/2022 9:05:09 AM 10/25/2022 9:00:03 AM		Endress+Hauser Windows Error R		None		<ul> <li>Filter Current Log</li> </ul>		
Subscriptions	Information	10/25/2022 9:00:05 AM		Endress+Hauser		None				
· · ·	(i) Information	10/25/2022 8:57:54 AM		Security-SPP	16384		~	Properties		
	Event 0, Endress+Haus						×	👭 Find		
		resources						Save All Events As		
	General Details							Attach a Task To this Log		
							_	View		Þ
Service cannot be started. Authentication failed: Login 'System' and password combination is incorrect.						G Refresh				
							Help		•	
								Event 0, Endress+HauserDataLibrary		•
								Event Properties		
								Attach Task To This Event		
								Copy		•
								Save Selected Events		
								Refresh		
								Help		•
								-		
	1									
	Log Na <u>m</u> e:	Application								
	Source:	Endress+HauserDataLibrary	Logge <u>d</u> :	10/25/2022 9:05:47	AM					
	Event ID:	0	Task Category:	None						
	Level:	Error	Keywords:	Classic						
	User:	N/A	Computer	DESKTOP-Q2A4IA2						
	OpCode:	Info								
	More Information:	Event Log Online Help								
< >	1									
									40056	960

Figure 14. Login failure event

# 6.5 Support

### 6.5.1 About

Click on the **About** button in the top right corner to open the About Raman data library Window. This window allows the user to view the version number, installation ID, and Copyright information.

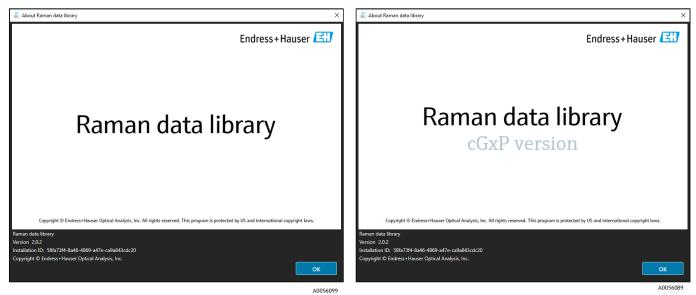


Figure 15. About windows: Raman data library and Raman data library cGxP version

### 6.5.2 Contact information

For service, refer to our website (https://endress.com/contact) for the list of local sales channels in your area.

# 7 Copyright information

# 7.1 End-user license agreement

A COPY OF THE END-USER LICENSE AGREEMENT FOR THE *Raman data library* SOFTWARE IS INCLUDED IN THIS DOCUMENT FOR REFERENCE PURPOSES. PLEASE READ THIS SOFTWARE LICENSE AGREEMENT CAREFULLY BEFORE INSTALLING OR USING THE SOFTWARE.

BY CLICKING ON THE "ACCEPT" BUTTON, INSTALLING THE PRODUCT, OR USING THE EQUIPMENT THAT CONTAINS THIS PRODUCT, YOU ARE CONSENTING TO BE BOUND BY THIS AGREEMENT. IF YOU DO NOT AGREE TO ALL OF THE TERMS OF THIS AGREEMENT, CLICK THE "DO NOT ACCEPT" BUTTON AND THE INSTALLATION PROCESS WILL NOT CONTINUE.

This is an End-User License Agreement between you, the end user of the *Raman data library* software ("Licensee"), and Endress+Hauser Optical Analysis, Inc. ("Licensor" or "Endress+Hauser"), a Delaware corporation having a principal place of business at 371 Parkland Plaza, Ann Arbor, Michigan 48103. You agree to be bound by the terms and conditions of this Agreement by installing the Endress+Hauser Raman data library software.

**1.0. NONEXCLUSIVE LICENSE.** Licensor grants you a nonexclusive license to use *Raman data library*, which includes the package of computer programs and data in machine-readable form and the user manuals, which together constitute the "Licensed Program," subject to the following terms and conditions, which apply to any and all versions of the Licensed Program installed by you.

### 2.0. SCOPE OF RIGHTS. Licensee may:

2.1. Install the Licensed Program on a single computer to be used to control spectrographic instrumentation made by Endress+Hauser;

2.2. Use and execute the Licensed Program on such computer defined in 2.1 for the purposes of serving the needs of the end user;

2.3. In support of your authorized use of the Licensed Program, store the Licensed Program's machine-readable instructions or data in, transmit it through, and display it on machines associated with the specified computer(s); and

2.4. Make one copy of the Licensed Program in machine-readable, object code form, for nonproductive backup purposes only.

#### 3.0. PROPRIETARY PROTECTION AND RESTRICTIONS.

3.1. The Licensed Program is copyrighted. The copyrights are owned by Endress+Hauser. The Licensed Program is licensed, not sold, for use by Licensee. Licensor does not sell or transfer title to the Licensed Program to Licensee.

3.2. You shall have no ownership of all right, title, and interest in and to the Licensed Program and all modifications and enhancements thereof (including ownership of all trade secrets and copyrights pertaining thereto).

3.3. This Licensed Program contains confidential and/or proprietary information which is protected by copyright and international treaty provisions. All rights are reserved. No part of the Licensed Program may be photocopied, reproduced, or translated to another language without written permission from the Licensor. Any unauthorized use, disclosure, assignment, transfer or reproduction of this confidential information will be prosecuted to the full extent of the law.

3.4. You may not use, copy, modify, or distribute the Licensed Program (electronically or otherwise), or any copy, adaptation, transcription, or merged portion thereof, except as expressly authorized by Licensor. Licensee may not reverse assemble, reverse compile, or otherwise translate the Licensed Program. Your rights may not be transferred, leased, assigned, or sublicensed except for a transfer of the Licensed Program in its entirety to (1) a successor in interest of Licensee's entire business who assumes the obligations of this Agreement or (2) any other party who is reasonably acceptable to Licensor, enters into a substitute version of this Agreement, and pays an administrative fee intended to cover attendant costs. Licensee may not install the Licensed Program in any other computer system or use it at any other location without Licensor's express authorization obtained in advance. If you use, copy, or modify the Licensed Program to any other party in any way not expressly authorized by Licensor, your license is automatically terminated.

3.5. You hereby authorize Licensor to enter Licensee's premises in order to inspect the Licensed Program in any reasonable manner during regular business hours to verify Licensee's compliance with the terms hereof.

3.6. You acknowledge that, in the event of Licensee's breach of any of the foregoing provisions, Licensor will not have

an adequate remedy in money or damages. Licensor shall therefore be entitled to obtain an injunction against such breach from any court of competent jurisdiction immediately upon request. Licensor's right to obtain injunctive relief shall not limit its right to seek further remedies.

### 4.0. LIMITED WARRANTY AND LIMITATION OF LIABILITY.

4.1. Licensor has taken care, for your benefit, to ensure the accuracy and reliability of the Licensed Program. This warranty is expressly conditioned on your observance of the operating, security, and data-control procedures set forth in the materials included with the Licensed Program.

4.2. To the extent not prohibited by law, in no event shall Endress+Hauser be liable for personal injury, or any incidental, special, indirect or consequential damages whatsoever, including, without limitation, damages for loss of profits, loss of data, business interruption or any other commercial damages or losses, arising out of or related to your use or inability to use the Endress+Hauser software, however caused, regardless of the theory of liability (contract, tort or otherwise) and even if Endress+Hauser has been advised of the possibility of such damages. Some jurisdictions do not allow the limitation of liability for personal injury, or of incidental or consequential damages, so this limitation may not apply to you. In no event shall Endress+Hauser's total liability to you for all damages (other than as may be required by applicable law in cases involving personal injury) exceed the total amount of all license fees paid to Licensor hereunder). The foregoing limitations will apply even if the above stated remedy fails of its essential purpose.

4.3. Licensor assumes no liability for errors or omissions in the Licensed Program and reserve the right to make changes and improvements without further notice to any products herein for any reason.

4.4. Except as expressly set forth in this Agreement, Licensor disclaims any and all promises, representations, and warranties with respect to the Licensed Program, including its condition, its conformity to any representation or description, any negligence, and its merchantability or fitness for a particular use. The information provided with the Licensed Program is not intended to be, nor should it be understood to be, representations or warranties concerning the Licensed Program described.

4.5. The cumulative liability of Licensor to you for all claims relating to the Licensed Program and this Agreement, including any cause of action sounding in contract, tort, or strict liability, shall not exceed the total amount of all license fees paid to Licensor hereunder. This limitation of liability is intended to apply without regard to whether other provisions of this Agreement have been breached or have proven ineffective. Licensor shall have no liability for loss of data or documentation, it being understood that Licensee is responsible for reasonable backup precautions.

4.6. The Licensed Program is sold "as is" and you assume the entire risk as to its quality and performance.

4.7. You may have additional rights under certain laws, *e.g.*, consumer laws, that do not allow the exclusion of implied warranties, or the exclusion or limitation of certain damages. If such laws apply, these exclusions and limitations may not apply to you.

#### 5.0. MISCELLANEOUS.

5.1. This Agreement shall be governed by and interpreted in accordance with the laws of the State of Michigan, United States of America, but specifically excluding the State of Michigan's choice of law rules.

5.2. No modification of this Agreement shall be binding unless it is in writing and is signed by an authorized representative of the party against whom enforcement of the modification is sought.

5.3. Any notices required or permitted under this Agreement shall be in writing and delivered in person or sent by registered or certified mail, return receipt requested, with proper postage affixed.

5.4. In the event that any of the terms of this Agreement is or becomes or is declared to be invalid or void by any court or tribunal of competent jurisdiction, such term or terms shall be null and void and shall be deemed severed from this Agreement and all the remaining terms of this Agreement shall remain in full force and effect.

5.5. THIS AGREEMENT IS THE COMPLETE AND EXCLUSIVE STATEMENT OF LICENSOR'S OBLIGATIONS AND RESPONSIBILITIES TO LICENSEE AND SUPERSEDES ANY OTHER PROPOSAL, REPRESENTATION, OR OTHER COMMUNICATION BY OR ON BEHALF OF LICENSOR RELATING TO THE SUBJECT MATTER HEREOF.

#### 6.0 Documentation

This document is intended for use by authorized employees and representatives of Endress+Hauser Optical Analysis, Inc. No part of this document may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or information storage and retrieval systems, for any purpose other than the purchaser's personal use, without the express written permission of Endress+Hauser Optical Analysis, Inc. Under the law, copying includes translation into another language. Information contained in this document is deemed to be accurate at the time of writing. Endress+Hauser Optical Analysis, Inc. reserves the right to change the information contained within without notice.

www.addresses.endress.com

